

600/3737

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.



CONTINUED PROSECUTION APPLICATION (CPA)
REQUEST TRANSMITTAL

Submit an original, and a duplicate for fee processing.
(Only for Continuation or Divisional applications under 37 C.F.R. 1.53(d))

CHECK BOX, if applicable:
☒ DUPLICATE

Address to:

Assistant Commissioner for Patents
Box CPA
Washington, DC 20231

Attorney Docket No. of Prior Application	MIT-6186Z
First Named Inventor	Michael S. Feld
Examiner Name	Smith, Ruth
Group / Art Unit	3737
Express Mail Label No.	EM080919789US

TECHNOLOGY CENTER 3700

DEC 29 1998

RECEIVED

This is a request for a ☒ continuation or ☐ divisional application under 37 C.F.R. 1.53(d)
(continued prosecution application (CPA)) of prior application number 08/745,509
filed on 11/12/96, entitled A Raman Endoscope

NOTES

FILING QUALIFICATIONS: The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 C.F.R. § 1.51(b), or (2) that national stage of an international application in compliance with 35 U.S.C. 371. A Notice will be placed on a patent issuing from a CPA, except for reissues and designs, to the effect that the patent issued on a CPA and is subject to the twenty-year patent term provisions of 35 U.S.C. § 154(a)(2). Therefore, the prior application of a CPA may have been filed before, on or after June 8, 1995.

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 C.F.R. § 1.53(d), but must be filed under 37 C.F.R. § 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 C.F.R. § 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 C.F.R. 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 C.F.R. § 1.78(a).

1. ☐ Enter the unentered amendment previously filed on _____
under 37 C.F.R. § 1.116 in the prior nonprovisional application.
2. ☒ A preliminary amendment is enclosed.
3. ☐ This application is filed by fewer than all the inventors named in the prior application, 37 C.F.R. § 1.53(d)(4).
 - a. ☐ DELETE the following inventor(s) named in the prior nonprovisional application:

 - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent is enclosed.
5. ☐ Information Disclosure Statement (IDS) is enclosed:
 - a. ☐ PTO-1449
 - b. ☐ Copies of IDS Citations

12/29/1998 SSANDARA 00000129 0874550 3800 01 FC:231

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 1.16(e) or (f))	20	- 20* =	0	x \$ 22 =	\$ 0
INDEPENDENT CLAIMS (37 CFR 1.16(b) or (f))	3	- 3** =	0	x \$ 82 =	\$ 0
MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))				+ \$ 270 =	\$
				BASIC FEE (37 CFR 1.16(a))	\$ 790
				Total of above Calculations =	\$ 790
				Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =	\$ 395
				TOTAL =	\$ 395
				Petition for Extension of Time Fee (37 C.F.R. 1.17) =	\$ 435
				TOTAL =	\$ 830
* Reissue claims in excess of 20 and over original patent. ** Reissue independent claims over original patent					

6. Small entity status:

- a. ☐ A small entity statement is enclosed.
- b. ☒ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

7. ☐ A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

8. ☒ A check is enclosed for \$ 830.00. ☐ Please charge \$ _____ to Deposit Account No. 08-0380.

9. ☐ Other: _____

NOTE: The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.

10. NEW CORRESPONDENCE ADDRESS

NAME					
ADDRESS					
CITY		STATE		ZIP CODE	
COUNTRY		TELEPHONE		FAX	

11. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Signature	<i>Thomas O. Hoover</i>	Date	<i>December 23, 1998</i>
Submitted by Typed or Printed Name	Thomas O. Hoover, Esq.	Reg. Number	32,470

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TOH/pmm
December 22, 1998



ATTORNEY'S Docket APPLICATION
MIT-6186Z

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Michael S. Feld and Joseph Baraga

Application No.: 08/745,509

Group: 3737

Filed: November 12, 1996

Examiner: Smith, R.

For: A RAMAN ENDOSCOPE

Date: 12/22/98

EXPRESS MAIL LABEL NO. EM080919789US

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Please amend the application as follows:

In the Claims

- Sub 15. (Twice Amended) A Raman endoscope comprising:
an endoscope having an optical fiber extending from a
proximal end to a distal end of the endoscope;